

For Six Month Period Ending

~~MAR 5~~
APRIL 5, 1989

(insert date)

Name of Registrant CHINA BOOKS & PERIODICALS, INC. Registration No. 1350

Business Address of Registrant 2929 24th Street
San Francisco, CA 94110

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

- (1) Residence address
- (2) Citizenship
- (3) Occupation

Yes ☐ No ☐
Yes ☐ No ☐
Yes ☐ No ☐

(b) If an organization:

- (1) Name
- (2) Ownership or control
- (3) Branch offices

Yes ☐ No ☒
Yes ☐ No ☒
Yes ☐ No ☒

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name

Position

Date Connection
Ended

Foster Stockwell

Director, Board of Directors

3/31/89

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
------	----------------------	-------------	----------	-----------------

5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name	Position or connection	Date terminated
See attached sheet		

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Position or connection	Date connection began
Robert Schildgen	2418 Spaulding, Berkeley, CA 94703	Clerical	10/4/88
Chris Tagunicar	6342 Bassett Ct., S.S.F., CA 94080	Clerical	10/10/88
Claora Styron	3440 25th Street, S.F., CA 94110	Clerical	11/1/88
Linda Revel	2842 Folsom Street, S.F., CA 94110	Clerical	1/2/89
Peter Chang	1645 20th Avenue, S.F., CA 94122	Clerical	12/19/88
Stephanie Sansone	660 Precita Avenue, S.F., CA 94110	Clerical	12/27/88
Lee Bradley	451 Sierra Vista Road, Santa Rosa, CA 95401	Clerical	1/23/89
Tammy Brooks	493 Utah Street, S.F., CA 94110	Clerical	2/2/89
Karina Mora	20 East 16th Street, NY, NY 10003	Clerical	1/30/89
Warren Hickman	1223 Hollister, S.F., CA 94124	Clerical	2/13/89
MacDonald Uzomah	1241 Shafter Avenue, S.F., CA 94124	Clerical	2/10/89
Charlotta McKown	62-59 108th Street, NY, NY 11375	Clerical	2/21/89

II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

- 1) F.S. Leong, Guoji Shudian, P. O. Box 399, Beijing, People's Republic of China
- 2) Renmin Ribao Publishing House, 2 Jin Tai Xi Lu, Beijing, People's Republic of China
- 3) China Reconstructs, Wai Wen Building, Beijing 37, People's Republic of China
- 4) Beijing Review, 24 Baiwanzhuang Road, Beijing, People's Republic of China

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

- 1) Importation, wholesale & retail distribution of books, periodicals, and art items from Guoji Shudian for profit. Activities for retail distribution are identical for China Books & Periodicals, Inc. in San Francisco, New York, and Chicago for Guoji Shudian. Wholesale and Mail Order distribution, through the mail and interstate transport is from San Francisco.
- 2) Printing and distribution of Renmin Ribao daily newspaper for profit. All activities for Renmin Ribao are from San Francisco.
- 3) Printing of China Reconstructs monthly magazine for profit. All activities for China Reconstructs are from San Francisco.
- 4) Printing of Beijing Review weekly magazine for profit. All activities are from San Francisco.

¹ The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

In answering YES, reference is made only to the matter of dissemination. China Books & Periodicals, Inc. is a commercial firm organized for profit to distribute books, periodicals, etc. on order of customers as a general service. Publications imported and distributed are from Guoji Shudian as indicated in (10) and (11). The newspaper printed and distributed is from film supplied by Renmin Ribao as indicated in (10) and (11). The magazines ~~xxxx~~ printed are from film and color separations supplied by China Reconstructs or Beijing Review as indicated in (10) and (11).

This answer applies to the 3 stores of China Books & Periodicals, Inc. in retail distribution in San Francisco, New York and Chicago. Distribution through the mail and interstate transport and the newspaper/magazines are from San Francisco.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☒ No ☐

If yes, describe fully. Advertising, Publication of Book Catalog

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policy of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

Date	SAN FRANCISCO From Whom	NEW YORK Purpose	CHICAGO	TOTAL Amount
1) GUOJI SHUDIAN				
Sales of publications	609,520.20	28,620.63	5,417.61	643,558.44
Amount retained as profit	225,153.26	(8,623.66)	(3,361.27)	213,168.33
2) RENMIN RIBAO: Amount rec'd from Renmin Ribao for printing & distribution:				1,219,789.90
Amount retained as profit:				204,353.70
3) CHINA RECONSTRUCTS: Amount rec'd from China Reconstructs for printing:				139,477.03
Amount retained as profit:				17,876.84
4) BEIJING REVIEW: Amount received from Beijing Review for printing:				139,538.76
Amount retained as profit:				535.03
			Total	

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☒ No ☐

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
1) Guoji Shudian/Oct 7, 1988 - Apr 6, 1989		Books, periodicals, art items to distribute for profit.	
2) Renmin Ribao/Oct 7, 1988 - Apr 6, 1989		Film plates from which to print daily newspaper to distribute for profit.	
3) China Reconstructs/Oct 7, 1988 - Apr 6, 1989		Film plates and color separations from which to print monthly magazine for profit.	
4) Beijing Review/Oct 7, 1988 - Apr 6, 1989		Film plates from which to print weekly news magazine for profit.	

³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(c).
⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS—MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☐ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	<u>SAN FRANCISCO</u> To Whom	<u>NEW YORK</u> Purpose	<u>CHICAGO</u>	<u>TOTAL</u> Amount
1) GUOJI SHUDIAN:				
Purchases - GS Subs	60,838.03			60,838.03
Purchases - GS Subs	50,974.75	4,703.32	683.81	56,361.88
Distribution of CR	35,263.43			35,263.43
Distribution of BR	42,406.33			42,406.33
Advertising/Promo	46,578.80	3,009.03	1,219.91	50,807.74
Sales Travel	3,496.24			3,496.24
Sales Commission	8,123.43			8,123.43
Computer	8,848.17			8,848.17
Supplies-Ofc/Stor/Ship	5,791.94	86.43	117.42	5,995.80
Postage/Frt Incl Ofc	26,195.67	470.97	509.96	27,176.60
Legal/Acctg/Ins/Tax	14,399.26	917.11	284.04	15,600.40
Wages/Benefits	52,412.36	20,053.06	3,820.08	76,285.51
Support	23,820.26	7,659.63	1,982.82	33,462.71
Misc/Travel	5,218.28	344.74	160.83	5,723.84
TOTAL EXPENSE:	384,366.94	37,244.29	8,778.88	430,390.11
2) RENMIN RIBAO:				
Purchases	29,886.80			
Printing	392,019.70			
Adver/Catalog	00			
Postage/Freight	572,593.57			
Computer	2,326.30			
Misc	9,065.17			
Wages	9,544.66			
TOTAL EXPENSE:	1,015,436.20			
3) CHINA RECONSTRUCTS:				
Printing	106,291.51			
Misc/Adver	3,795.70			
Wages	11,512.98			
TOTAL EXPENSE:	121,600.19			
4) BEIJING REVIEW:				
Printing	91,832.91			
Air Freight	4,281.40			
Misc/Adver	32,292.04			
Wages	10,597.38			
TOTAL EXPENSE:	139,003.73			

Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value² other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
----------------------	-------------------------------------	--	--------------------------------------	----------------

(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value² in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
-------------	---------------------------------	---------------------------------------	--------------------------

V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

- 1) Guoji Shudian
- 2) Renmin Ribao
- 3) China Reconstructs
- 4) Beijing Review

²Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☒ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- ☐ Public Officials ☐ Newspapers ☒ Libraries
☐ Legislators ☐ Editors ☒ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in this political propaganda:

☐ English ☒ Other (specify) Chinese

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☒ No ☐
 Exhibit B⁷ Yes ☒ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

Yes, where required.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

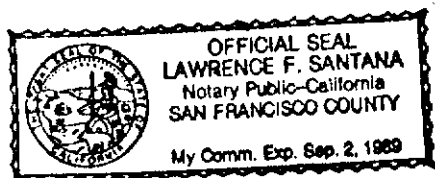
(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Chris Noyes

Chris Noyes, President

Subscribed and sworn to before me at SAN FRANCISCO, CALIFORNIA

this 30th day of MAY, 19 89



Lawrence F. Santana

(Signature of notary or other officer)

¹The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

SENSITIVE MATERIALS LISTING

-----ITEM-----		
NO		
0089-8	* FOUNDATION OF LENINISM (PB)	0
0113-4	IMPERIALISM, HIGHEST STAGE	123
0123-1	* KARL MARX	0
0130-4	* LENIN ON WAR & PEACE	1
0146-0	MANIFESTO OF COM. PARTY (PB)	1219
0186-X	* ON NEW DEMOCRACY, ETC.	0
0271-8	* PROBLEMS OF LENINISM (PB)	1
0284-X	* QUOTATIONS OF MAO-small	498
0310-2	* REVOL. THOUGHT-MARX TO MAO	29
0322-6	* SEL MILITARY WRITING	101
0324-2	SEL READINGS OF MAO (HB)	266
0338-2	* SEL WORKS OF MAO (HB), 5 VOL	1
0339-0	* SEL WORKS OF MAO, 5 VOLS(PB)	2
0356-0	* SIX ESSAYS ON MILITARY AFF.	11
0372-2	STATE AND REVOLUTION	217
0422-2	* WAGES, PRICE & PROFIT	89
0426-5	* WHAT IS TO BE DONE (PB)	172
0451-6	FIVE ESSAYS ON PHILOSOPHY	272
0456-7	* MAO TSE TUNG ON LIT. & ART	17
0547-4	* WAGE, LABOUR & CAPITAL	82
0561-X	MANIFESTO OF COM. PARTY (HB)	9
0863-5	* RESOLUTION ON CPC HIST. 49-81	2
0970-4	* FIVE ARTICLES (PB)	12
1305-1	* SEL WORKS OF DENG X.P. (PB)	102
2106-2	FUND. ISSUE PRES-D CHINA (PB)	222

25 SENSITIVE ITEMS ON FILE

RECEIVED
JUN -6 1989
P4:00

ATTACHED SHEET FOR QUESTION #6, PAGE 2

Name	Position or connection	Date terminated
Henry Hollander	Clerical	11/21/88
Ed Olmstead	Clerical	12/17/88
Judy Hardin	Clerical	11/23/88
Mila Miguel	Clerical	10/19/88
Chin Chi	Clerical	2/10/89
Joseph Katz	Clerical	1/20/89
Doris Escalante	Clerical	12/8/88
Irene Basterl	Sales	2/24/89
Chris Courtelis	Clerical	11/23/88
Jackie Bruckman	Clerical	1/11/89
Rafael Payes	Clerical	11/21/88

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes X or No

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes or No

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Chris Noyes
Signature

May 30, 1989

Date

Chris Noyes

Please type or print name of signatory on the line above

President

Title

68.
JUN-6 P4:CU